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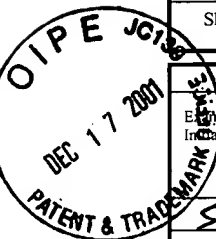
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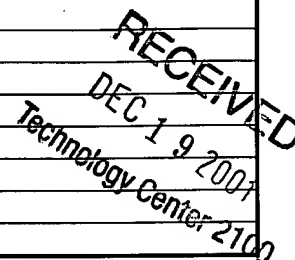
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|   |   |    |   | Application Number       |  | 09/891,217               |  |
|   |   |    |   | Filing Date              |  | June 26, 2001            |  |
|   |   |    |   | First Named Inventor     |  | Philippe Buhannic et al. |  |
|   |   |    |   | Group Art Unit           |  | 2161                     |  |
|   |   |    |   | Examiner Name            |  |                          |  |
| Sheet   | 1 | of | 1 | Attorney Docket Number   |  | 099593-8                 |  |



| U.S. PATENT DOCUMENTS          |                       |                      |                                      |   |   |   |
|--------------------------------|-----------------------|----------------------|--------------------------------------|---|---|---|
| Examiner Initials <sup>5</sup> | Cite No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                                |                       | Number               | Kind Code <sup>2</sup><br>(if known) |   |   |   |
| SSP                            |                       | 5,101,353            |                                      | Lupien et al.                                   | Mar. 31, 1992                                       |   |
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| Examiner Initials <sup>5</sup>                    | Cite No. <sup>1</sup> | Foreign Patent Document  |                     |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
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| SSP   |                       | Merrill Lynch, Pierce, Fenner & Smith Inc., Merrill Lynch Online Services Page, pp. 1-3, from <a href="http://askmerrill.ml.com/online/">http://askmerrill.ml.com/online/</a> , different investment opportunities are introduced (e/g/ Askmerrill Mobile, Merrill Lynch Presents Global Investor Network, Market Pulse, Portfolio Tracker etc.) |                     |                                      |   |   |   |                |
| SSP   |                       | Derwent -Acc-No: 2001-080621 (De Prisco et al.) 28 December 2000, see the novelty; detailed description, and the advantage of this disclosure)   |                     |                                      |   |   |   |                |
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|--------------------|------------------|-----------------|--------|
| Examiner Signature | <i>Alan Paul</i> | Date Considered | 9-7-02 |
|--------------------|------------------|-----------------|--------|

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